
Editorial

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1 Introduction

The innovation literature explores how diverse actors and institutions promote and spread innovation. Over the last decades social scientists and policy makers have been paying more and more attention to regions as designated sites of innovation and competitiveness in the globalised economy (Asheim and Coenen, 2005). According to Etzkowitz and Leydesdorff (2000) the national organisation of the system of innovation has historically been important in determining competition. Jiao et al. (2016) argue which when the interaction between local producers and users of knowledge becomes increasingly active, R&D investment from firms, universities and research institutes has a stronger effect on the building of regional innovation systems. Active interactions in R&D networks of the triple helix (TH) institutional actors can arguably improve the innovative capabilities of countries (Lee and Kim, 2016).

This TH model emerged from a workshop on Evolutionary Economics and Chaos Theory: New Directions in Technology Studies (Leydesdorff and Meyer, 2006). In terms of the collaboration between institutional stakeholders in an economy, the TH metaphor of university–industry–government interactions (Etzkowitz and Leydesdorff, 2000, 1995; Leydesdorff and Etzkowitz, 1996, 1998) quickly spread among academics and policy makers for their important contribution to national and regional innovation systems. The TH model assumes that relations between academia (universities and other public research organisations), industry and government (local, regional, national and supranational) are key to fostering innovation and regional competitiveness in the current context of the knowledge economy (Leydesdorff, 2000).

The TH metaphor more or less invites proposals to extend the model to more than three helices (Björk, 2014; Carayannis et al., 2012; MacGregor et al., 2010; Peris-Ortiz et al., 2016a, 2016b). In many remote, rural and less-favoured regions, there may not be a university or other knowledge-intensive institution present which makes a difference in terms of local development agendas. In the same sense, the business fabric also may be dispersed and bear fragile capacity for innovation. In this alignment the public sector itself may not have this ability to improve innovation. In these cases, it is assumed that social groups and the community can also play an important role in the entrepreneurial context and in particular on the social entrepreneurship field (Kolehmainen et al., 2016; Lawton Smith and Bagchi-Sen, 2012). According to Leydesdorff (2012), the TH model

can be extended algorithmically, for example, with local-global as a fourth dimension or, more generally, to an N-tuple of helices. Carayannis and Campbell (2009, 2010) have named the fourth helix as media-based and culture-based public and civil society. This is the understanding that additional perspectives must be added to comprehend open innovation (OI) in the unfolding 21st century (Park, 2014).

The model of 'OIs' (Chesbrough, 2003) can be compared with the TH model as it attempts to bring industrial innovation closer to public R&D (Leydesdorff and Ivanova, 2016). The TH and 'multiple helices' case studies inform us about the best collaborative practices we can find in science and economics, which may result in science and innovation outputs, wealth and jobs creation, all based on the emergence of new collaborative business models and new market scales possibilities (Farinha et al., 2016; Lee and Kim, 2016; Leydesdorff et al., 2014; Peris-Ortiz et al., 2016a, 2016b; Reis et al., 2014). Virkkala et al. (2017) present a connectivity model as a potential tool for smart specialisation strategies based on the TH dynamics. This model applied to the regional innovation systems gives us new clues about how the TH model can contribute to the entrepreneurial discovery process (EDP). The TH actors are expected to be at the forefront of the EDP and they should work according to the regions' smart specialisation strategies. Leydesdorff et al. (2014) argue that it is now important to find a routine to measure the synergy in triple-helix and quadruple-helix relationships.

The relations between the TH indicator as an indicator of synergy and the TH model that specifies the possibility of feedback by an overlap of communications are also discussed. According to Pugh (2016), universities, and the knowledge they hold, are increasingly seen as stimulants for regional economic development and are at the heart of strategies to leverage the knowledge-based economy. However, in lagging regions the reading of the dynamics of TH and its relationship with economic growth is not always so linear. In another reading, Guerrero and Urbano (2017) argue that TH has been operationalised in different ways, spaces, and contexts where those agents are transforming their roles in the development and strengthening of national innovation and entrepreneurial ecosystems. In the last decades, several studies have confirmed the contribution of TH interactions to economic growth, through the dynamics of innovation and entrepreneurship (Farinha et al., 2016, 2014; Guerrero et al., 2016; Leydesdorff and Meyer, 2006).

Thus, in the relationships of TH and sustainable economic growth, reviewing the past (Etzkowitz et al., 2000; Leydesdorff and Etzkowitz, 1996, 1998), we will better understand the present (Chen et al., 2016; Guerrero et al., 2016; Lee and Kim, 2016), and we will have a new vision for the future (Peris-Ortiz et al., 2016b; Virkkala et al., 2017; Yoon and Park, 2017).

This special issue focuses on providing a more integrated theory and development policy based on the TH dynamics for innovation and their contribution to the regional growth.

All articles were original empirical articles that aim to close the gap between the TH dynamics and regional growth literature. It is the increasing debate around the topic of how the TH interactions can contribute to economic growth and the regional development.

The collection of articles covers a wide range of aspects related to knowledge management, smart specialisation, academic spin-offs and start-ups, sectoral and regional clusters, entrepreneurship and innovation.

How can TH dynamics contribute to the regional growth and what are the dynamics between the academia, industry, government and civil society that should be prioritised to make the territories smarter and competitive remains a key drive for future research that articles in this special issue address. This special issue attracted articles from different countries highlighting the universal interest in these research themes. In this introduction article, we provide focused sum-ups of the articles in the special issue and highlight how each study contributes to the literature. We hope this special issue will encourage researchers to develop new research in this field, including the development of new opportunities for cooperation and sharing of successful case studies.

2 Overview of articles

The first article titled ‘Towards combining the triple helix concept with competence-based approach of educational management theory’ aims to find ways to correlate the concept of the TH of university-industry-government relationships with competence-based approach of university management theory. The rapid deployment of innovative processes in the regional context has led to the emergence of new business models of universities, based on the ‘TH’ model, combining universities, business and government with the semi-autonomous centres that interact with the environment (Etzkowitz and Leydesdorff, 2000). More recently, the ‘TH’ model was complemented by a civil society and public institutions (Carayannis and Campbell, 2009), ecological institutions (Carayannis and Campbell, 2010), or integrating the perspectives of regional innovative systems (Lazzeroni and Piccaluga, 2015). The methodological framework is supported on several theoretical provisions, which integration allowed to substantiate the concept of improving mechanisms of master students’ research competencies formation in universities. According to the authors, research results are of interest to the regional development authorities, being able incorporated into management practices, assisting to improve the efficiency and competitiveness of regional innovation systems.

The second article titled ‘Analysis of the researcher’s motivators to collaborate with firms as drivers of the triple helix dynamics’ argues that science commercialisation contributes in some extent to determine the innovation capacity of industries of a country. In addition, the process of research transfer from universities to firms has economic, political and cultural implications. Also explains that in the context of the academy-industry-government links (TH approach), the innovation rate of a country requires the interaction of agents involved in the knowledge generation, innovation commercialisation and innovation-incentivising policies (Etzkowitz and Leydesdorff, 2000; Leydesdorff and Meyer, 2006). More specifically, the networked management is especially relevant to let the three ‘helices’ converge to support innovation and entrepreneurship in the context of regional competitiveness (Farinha and Ferreira, 2012; Farinha et al., 2016). In addition, from a dynamic approach, quadruple and quintuple helix models (Carayannis and Campbell, 2006, 2009, 2010) bring to us the inclusion of the civil society sphere and the perspective of the natural environments of society and the economy for the knowledge production and innovation systems. Based on a conceptual framework that relates the agency theory with other variables that literature considers as motivators of the researchers and firms to collaborate, this study used a sample of 420 research groups of eight regions of Spain, France and Portugal.

The third article titled ‘Innovation centres as anchor spaces of the ‘knowledge city’’ explores the role of innovation centres and investigates their contribution in the making of the knowledge city. In the last two decades, new collaborative spaces, such as hacker spaces, makerspaces, Living Labs, Fab Labs, and co-working spaces, have emerged as a result of knowledge and collaborative economy (Botsman and Rogers, 2011; Capdevila, 2015). The research methodology uses a multiple case study in which three cases were selected: Barcelona Growth Centre in Barcelona (Spain), Ruta N in Medellin (Colombia), and Station F in Paris (France). This study finds that innovation centres are initiatives that participate in the making of the knowledge city.

In the fourth article titled ‘National, regional or industrial explanation for firms’ deaths in the European Union since 2010 until 2014 – a shift-share application’, it is mentioned that business demography is one of the many possible applications of the whole demography concept. In this framework, are studied the births, deaths and evolution of firms (Van Dijk and Pellenbarg, 2000), as well as firms’ age, change in size, growth and decline, mergers and spin-offs (Van Wissen, 2002; Van Dijk and Pellenbarg, 2000). This article analyses the factors that may drive business closure in European Union countries between 2010 and 2014. Was applied a shift-share decomposition analysis of business closure will be applied. The results proved that regional component had the highest impact on firms’ deaths during the investigated period for almost all countries included in the analysis.

The fifth article titled ‘University spin-offs and triple helix dynamics in regional innovation ecosystems: a comparison of technology intensive start-ups in Sweden’ aims to explain how university spin-offs contribute to TH dynamics and the evolutionary processes of industrial renewal in regional innovation ecosystems. Recent research recognises the role of technology-intensive initiatives as engines of economic growth and their significant contribution to industrial renewal, economic restructuring, and local economic development (Kirchhoff, 1994; Delmar and Wennberg, 2010). In methodological terms, the authors analysed three groups of technology-intensive start-ups: university spin-offs, corporate spin-offs, and technology-independent start-ups. A questionnaire survey was applied to 341 young companies operating in two technology-intensive sectors in Sweden. The results suggest that university spin-offs differ from the other two groups in relation to their contribution to triple-helix dynamics in regional innovation ecosystems. University spin-offs cooperate more closely with universities, conduct more in-house R&D, purchase more R&D services, and offer more innovative products and services in the introduction stage of their industry life cycles.

Over the years, the importance of developing regional innovation systems to generate competitiveness and economic growth has gained considerable attention, especially in the context of the TH relationships (Etzkowitz and Leydesdorff, 1995). In this assumption, innovation clusters are increasingly seen as agents of change capable of positively influencing the regions’ competitiveness (Porter, 1990). In the sixth article titled ‘The new triple-helix policy of Lombardy region: evidence from nine innovation clusters’, authors collected data according to an embedded comparative case study research design on Lombardy region, where the regional government promoted a triple-helix inspired policy of implementing nine innovation clusters. Their findings have both conceptual and policy implications regarding triple-helix, clusters and regional innovation systems.

The seventh article titled ‘Effectiveness of regional biotechnology clusters to support innovation activities: case of biotech cluster in Russia’ presents an exploratory study that

intends to evaluate the level of development of support infrastructure in regional biotechnology clusters in Russian Federation. This study is based on a case-study design involved a semi-structured survey of 54 participants of a Russian biotechnology cluster is revealed an immature nature of support infrastructure that inhibits innovation in biotechnology companies, being presented a conceptual framework of organisation of the regional biotech cluster under conditions of serious market failure in the support infrastructure.

In the knowledge economy, innovation serves as the engine of growth and competition among regions are increasing more and more due to their ability to create, acquire and valorise knowledge (Cooke and Leydesdorff, 2006). Building on the evidence on emerging competitiveness gaps in the Global Innovation Index analysis, the eighth article titled 'Supporting the regional development in the knowledge economy: the adoption of a system dynamic approach in Ghana' discusses the huge disconnects among the key players in Ghana's innovation ecosystem. Using a recognised modelling tool (Vensim), in the context of TH interactions, the study aims to explore the impact of interactions between academy (university) and industry (market), highlighting areas of causality, relationships and addictions.

India has been identified as one of the potential sources of tech start-ups in the global economy (Gai and Joffe, 2013). The ninth article titled 'Role of the triple helix in the ecosystems for tech start-ups in India: a gap analysis' aims to ascertain the gap between an ideal ecosystem and the prevailing ecosystems and its causal factors, based on four-stage interactions with ecosystem stakeholders (by means of Delphi technique application) in Bangalore and Hyderabad, in India. In methodological terms, the gap analysis is done by means of a hierarchical regression model for five different sets of components of the ecosystem structure:

- 1 TH comprising government, industry and academia
- 2 a nucleus consisting of tech start-ups and prospective tech start-ups
- 3 five indispensable components, i.e., finance, market, human resources, support system and mentors
- 4 three supplementary components, i.e., culture, media and weather
- 5 level of interactions between the various components, apart from a control (dummy) variable to distinguish Bangalore from Hyderabad.

The results brought out the fundamental but inadequate role of the TH followed by tech start-ups, five indispensable components, three supplementary components, and the level of interactions as the factors contributing to the overall gap prevailing in the two start-up hubs.

The tenth article titled 'New and growing firms' entrepreneurs' perceptions and their discriminant power in EDL countries' intends to analyse the entrepreneur's perceptions about the conditions to create new and growing companies and respective significance to the level of the countries' economic development. According to Reynolds et al. (2005) the Global Entrepreneurship Monitor (GEM) is a research program that focuses on a major driver of economic growth: the entrepreneurship. GEM is a large-scale database for internationally comparative entrepreneurship that includes information on many aspects of entrepreneurship activities, perceptions, conditions, national and regional policy, among others, of a large number of countries (Correia et al., 2016). In addition,

the GEM has always sought to explore the widely accepted link between entrepreneurship and economic development (Carree and Thurik, 2003; Acs, 2006; Audretsch, 2007). The study concludes that there is statistical evidence that the levels of economic development are distinguished by the perceptions of entrepreneurs about new and growing companies.

The 11th article titled ‘Agricultural entrepreneurship and the financial crisis’ aims to analyse the changes on the profile of the European agricultural entrepreneurs after the recent financial crisis, that began in 2008, given the impact of the crisis on European economic activity and the lack of studies on agricultural entrepreneurship. The agricultural sector is nowadays confronted with rapid changes and new challenges that have emerged both on the demand side and on the supply side (Gellynck et al., 2015). Entrepreneurship research has ignored the agricultural sector, focusing mainly on the manufacture, high technology and services sectors. This gap is partly justified by the exclusion of the agricultural sector from many public and private databases, which makes it difficult for researchers who want to analyse this sector (Alsos et al., 2011). Using individual-level data from the total early-stage entrepreneurial activity for the European agricultural sector in 2007 (before the crisis) and 2012 (after the crisis), taken from the GEM, the authors investigate if after the crisis changes the role of motive, demographic and economic factors (gender, age, education, household income), perceived characteristics (opportunity perception, self-confidence, fear of failure, meeting other entrepreneurs) and innovativeness (clients, technology, competition). This article includes 22 European countries and the results allow us to conclude that the role of these variables is changed by the crisis, except for the role of gender, to meet other entrepreneurs, customers and competition, which may reflect specific characteristics of the agricultural sector.

The 12th article titled ‘Strategies and obstacles for marketing innovation activities’ is focused on the importance of innovation and its role in the competitiveness of firms, intending to explore the particular case of marketing innovation. Innovation plays a key role in the competitiveness of firms, and marketing innovation is, by no means, an exception (Hasan, 2017; Dinis, 2006; Gupta et al., 2016). Based on a sample of 6,840 Portuguese firms, multivariate techniques (factor analysis and multivariate linear regression) have been used to measure the factors associated with main strategies and obstacles of marketing innovation, to better understand the role of marketing in firms’ innovation processes. The results of the study suggest that most Portuguese firms display a limited marketing innovation strategy, with some focus on innovation in design or packaging, product promotion, placement and pricing.

3 Conclusions

In last decades there has been an increased interest in understanding entrepreneurship practices in a regional development context, underlining the importance of regional innovation systems, including here the dynamics of the TH metaphor (Cooke and Leydesdorff, 2006). Entrepreneurial embeddedness is an important part of regional development as it helps to address the creative ways local and regional resources are used to build external and local ties amongst economic agents in an innovation system (Farinha et al., 2018).

We have discussed the role of the TH interactions in regional innovation systems, underlying the role of entrepreneurial initiatives and the sectoral clusters contributions to economic growth.

We discussed the main findings of the 12 articles and provided an agenda for future research. Overall, this special issue suggests that TH dynamics in regional context provide a useful way to understand economic growth. We hope that our special issue inspires future research to continue to look at TH dynamics and innovative and entrepreneurial perspective, especially at the regional level, to yield new insights.

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